

Natural Language Generation with Neural Variational Models

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Overview

- 1 Introduction
- 2 Background
- 3 Variational Autoencoder
- 4 Variational Encoder-Decoder Models
- 5 Conclusions

Plan

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Motivation

- Consider two dialog systems (conversational agent responding to user utterances)

Input: What are you doing?	
<i>I don't know.</i>	<i>Get out of here.</i>
<i>I don't know!</i>	<i>I'm going home.</i>
<i>Nothing.</i>	<i>Oh, my god!</i>
<i>Get out of the way.</i>	<i>I'm talking to you.</i>

Input: What is your name?	
<i>I don't know.</i>	<i>My name is Robert.</i>
<i>I don't know!</i>	<i>My name is John.</i>
<i>I don't know, sir.</i>	<i>My name's John.</i>
<i>Oh, my god!</i>	<i>My name is Alice.</i>

Input: How old are you?	
<i>I don't know.</i>	<i>Twenty-five.</i>
<i>I'm fine.</i>	<i>Five.</i>
<i>I'm all right.</i>	<i>Eight.</i>
<i>I'm not sure.</i>	<i>Ten years old.</i>

Table: Diversity of responses [Li et al., 2015]

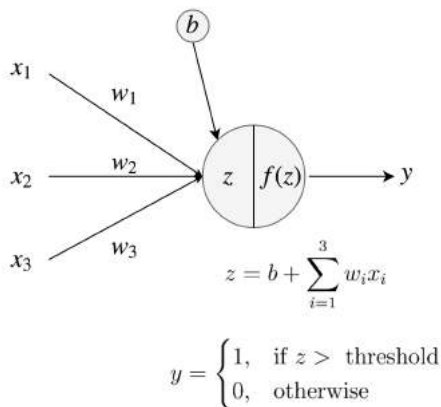
- Objective is to generate a diverse set of responses (\mathbf{y}) for a given input line (\mathbf{x})
- Approach - Neural variational models

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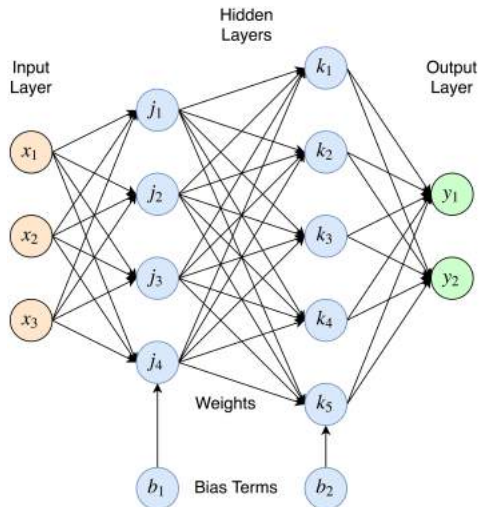
Deep Learning

- Subfield of machine learning
- Use of artificial neural networks
 - Inspired from neurons in the brain
 - Deep architectures
 - Outperform humans in a number of cognitive tasks
 - Massive amounts of data, powerful hardware
- Perceptron [[Rosenblatt, 1958](#)]



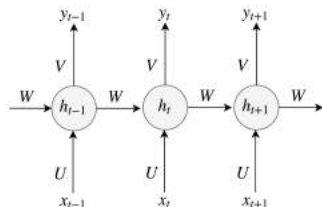
Feedforward Neural Networks

- Multiple layers
- Non-linear Activation functions
- Forward propagation
- Compute loss
- Weight update by Error Backpropagation
- Stochastic Gradient Descent (SGD) / ADAM



Recurrent Neural Networks

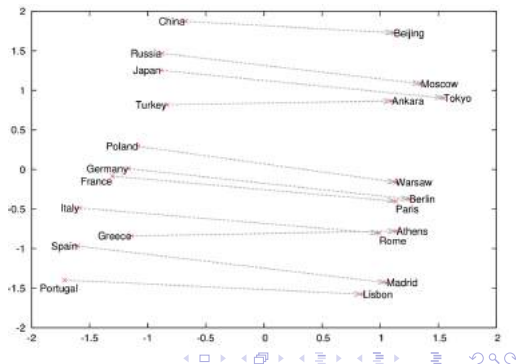
- Text data - expressed as a sequence
- RNNs
 - Feed inputs in a sequential manner
 - The hidden state contains info until t
 - $h_t = f(Ux_t + Wh_{t-1}); y_t = Vh_t$
 - Weight sharing



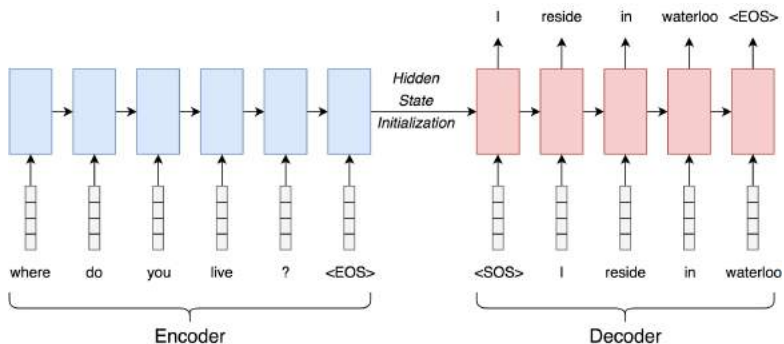
- Vanilla RNNs in practice
 - unable to remember the dependencies between inputs which are far apart in the sequence
- **Solution:** LSTM-RNNs [[Hochreiter and Schmidhuber, 1997](#)]
 - Better at capturing long term dependencies
 - An entire module (known as a *cell*) with a set of gates to replace f
 - Compute a hidden state h_t and a cell state c_t at each timestep

Word Embeddings

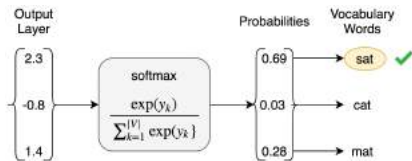
- Cannot directly input raw text into an ML algorithm
- Need to map the textual data into corresponding numeric representations
- **Solution:** `word2vec` - fixed vector representations for each word [Mikolov et al., 2013]
- Based on distributional similarity - “words that occur in similar contexts would have similar meaning”
Eg. *sports* and *game*
- $W: \text{words} \rightarrow \mathbb{R}^n$, where n is the dimension of each word vector



Sequence-to-Sequence Models



- Encoder and Decoder are RNNs with LSTM units
- Hidden state initialization
- Teacher Forcing
- Output Softmax layer

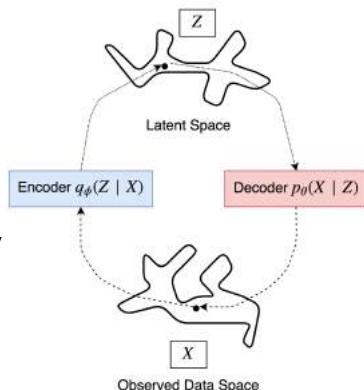
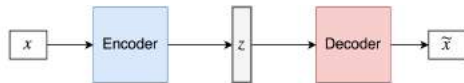


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Autoencoding (Deterministic)

- Obtain a compressed representation of the data x from which it is possible to re-construct it
- Encoder $q_\phi(z|x)$ and Decoder $p_\theta(x|z)$ are jointly trained to maximize the conditional log-likelihood
- The latent representation z has an arbitrary distribution

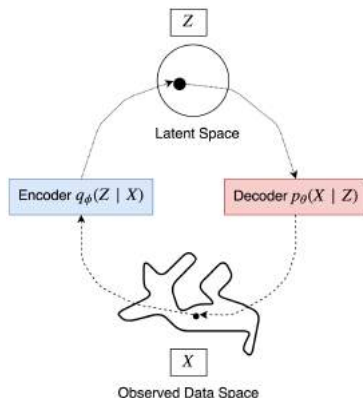
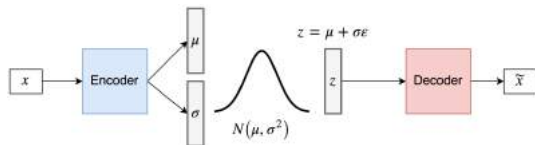


Minimize Reconstruction Loss

$$J = - \sum_{n=1}^N \sum_{t=1}^{|x^{(n)}|} \log p(x_t^{(n)} | z^{(n)}, x_{<t}^{(n)})$$

Variational Autoencoder [Kingma and Welling, 2013]

- Enforce a distribution on the latent space
- Minimize the Kullback-Leibler (KL) divergence between the learnt posterior and a pre-specified prior: $\text{KL}(\mathcal{N}(\mu, \sigma) || \mathcal{N}(0, I))$
- Balance between reconstruction and KL penalty term
 - High λ - Ignores reconstruction
 - Low λ - Deterministic behaviour



Minimize Reconstruction Loss + KL Divergence

$$J = \sum_{n=1}^N \left[- \mathbb{E}_{z^{(n)} \sim q} \sum_{t=1}^{|x^{(n)}|} \log p(x_t^{(n)} | z^{(n)}, x_{<t}^{(n)}) + \lambda \cdot \text{KL}(q(z^{(n)} | x^{(n)}) || p(z)) \right]$$

Training Heuristics

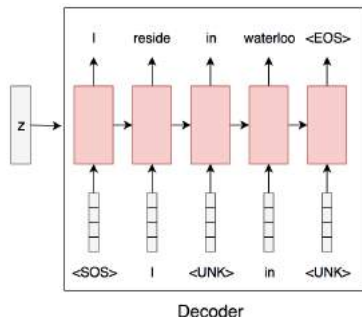
- Training VAEs for text generation is notoriously difficult
- Adopt two training strategies [Bowman et al., 2015]

KL Weight Annealing

- Gradually increase λ from zero to a threshold value
- Deterministic autoencoder \rightarrow Variational autoencoder
- Experiment with different annealing schedules

Word Dropout

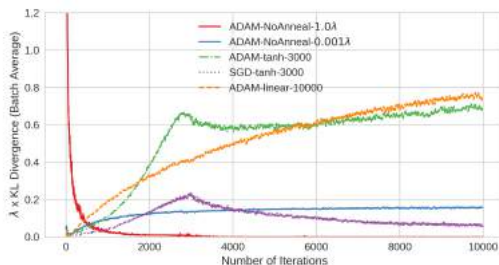
- Replace decoder inputs with $\langle \text{UNK} \rangle$ with probability p
- Weakens the decoder and encourages the model to encode more information into z



VAE Variants

- Trained on 80k sentences of the SNLI dataset
- Evaluating reconstruction performance with BLEU scores
- $\text{BLEU-}j = \min\left(1, \frac{\text{generated-length}}{\text{reference-length}}\right) * (\text{precision}_j)$

Model	BLEU-4
Deterministic AE	73.73
ADAM-NoAnneal-1.0	2.05
ADAM-NoAnneal-0.001	72.05
ADAM-tanh-3000	36.50
SGD-tanh-3000	2.70
ADAM-linear-10000	35.29

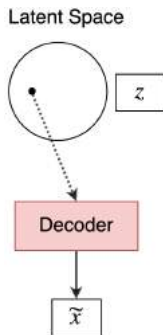


- Non-linear annealing $\lambda_i = \frac{\tanh\left(\frac{i-4500}{1000}\right)+1}{2}$
- Linear annealing $\lambda_i = \frac{i}{200000}$

Random Sampling

- VAEs exhibit interesting properties due to their learnt latent space
- Continuous latent space \implies meaningful sentences
- Discard encoder; Sample from prior $\mathcal{N}(0, I)$ and generate
- New and interesting sentences unseen in the training data

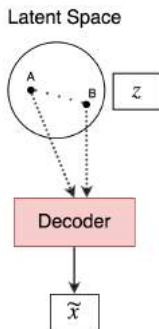
Deterministic AE	ADAM-NoAnneal-1.0
<i>a men wears an umbrella waits to a couple cows a monument there is sleeping and two rug . a man in a pick photos a boy are people at a lake escape .</i>	<i>a man is sitting on a bench . a man is sitting on a bench . a man is sitting on a bench . a man is sitting on a bench . a man is sitting on a bench .</i>
ADAM-NoAnneal-0.001	ADAM-tanh-3000
<i>i woman who is on watch a factory they are excited formation to ride a castle of a their janitor is leaving the dirt wearing his suits . two children in it exits a six people sitting are sorting at single radio in .</i>	<i>the dog is sleeping in the grass . the girls are being detained . the group of people are going to begin . a girl with blond-hair on a bike with a stick a woman and a man are walking on a street</i>



Linear Interpolation

- To test the continuity of the latent space
- $\mathbf{z}_{\alpha_i} = \alpha_i \cdot \mathbf{z}_A + (1 - \alpha_i) \cdot \mathbf{z}_B$ where $\alpha_i \in [0, \frac{1}{5}, \frac{2}{5}, \frac{3}{5}, \frac{4}{5}, 1]$
- VAE - Smooth transition maintaining syntax and semantics
- DAE - Transition is irregular and non-continuous

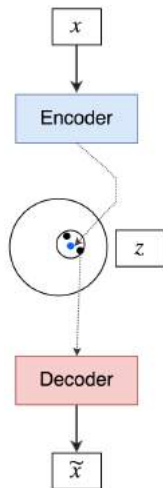
Deterministic AE	Variational AE
Sentence A: there is a couple eating cake .	
<i>there is a couple eating cake .</i> <i>there is a couple eating cake .</i> <i>there is a couple eating cake .</i> <i>there is a group of people eating a party .</i> <i>a group of men are watching a party .</i> <i>a group of men are watching a dance party .</i> <i>a group of men are watching a dance party .</i> <i>a group of men are watching a dance party .</i>	<i>there is a couple eating cake .</i> <i>there is a couple eating .</i> <i>there is a couple eating dinner .</i> <i>there is a couple of people eating dinner .</i> <i>a group of people are having a conversation .</i> <i>a group of men are having a discussion .</i> <i>a group of men are watching a movie .</i> <i>a group of men are watching a movie theater .</i>
Sentence B: a group of men are watching a dance party .	



Sampling from Neighborhood

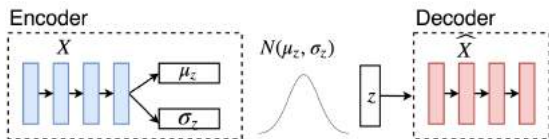
- For a given input x , sample the latent vector as $z = \mu + 3\sigma \otimes \epsilon$
- VAE - generates diverse sentences, however topically similar to the input.
- DAE - latent space has empty regions

Deterministic AE	Variational AE
Input Sentence: a dog with its mouth open is running .	
<i>a dog with its mouth is open running .</i> <i>a dog with its mouth is open running .</i> <i>a dog with its mouth is open running .</i>	<i>a dog with long hair is eating .</i> <i>a guy and the dogs are holding hands</i> <i>a dog with a toy at a rodeo .</i>
Input Sentence: there are people sitting on the side of the road	
<i>there are people sitting on the side of the road</i> <i>there are people sitting on the side of the road</i> <i>there are people sitting on the side of the road</i>	<i>the boy is walking down the street .</i> <i>there are people standing on the street outside</i> <i>the police are on the street corner .</i>

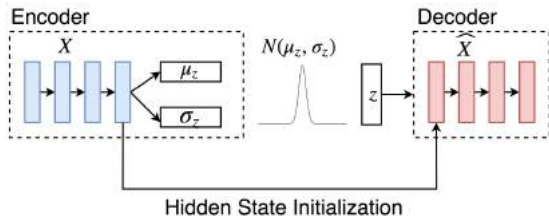


VAE Bypassing Phenomenon

- Design considerations
- z is sampled and fed to the decoder
- Encode useful information in the latent space



- With **bypass connection**, the decoder has direct deterministic access to the source info
- Latent space ignored, KL divergence doesn't act as a regularizer



Diversity Evaluation Metrics

For a given input \mathbf{x} , generate multiple outputs $\mathbf{y}_1, \mathbf{y}_2, \dots, \mathbf{y}_k$

Entropy

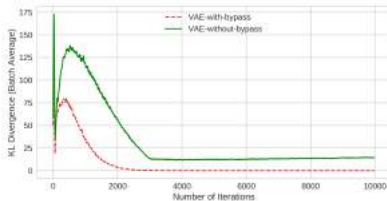
- Compute unigram probability $p(w)$ of each word in the generated set
- $H = - \sum_w p(w) \log p(w)$
- More entropy \implies more randomness \implies more diversity

Distinct Scores

- Distinct-1 = $\frac{\text{Count of distinct unigrams}}{\text{Total unigram count}}$
- Distinct-2 = $\frac{\text{Count of distinct bigrams}}{\text{Total bigram count}}$

Effect on Latent Space

- VAE without hidden state initialization generates diverse outputs
- Bypass connection degrades the model to a deterministic AE



	VAE with Bypass	VAE without Bypass
Entropy	2.004	2.686
Distinct-1	0.099	0.302
Distinct-2	0.118	0.502

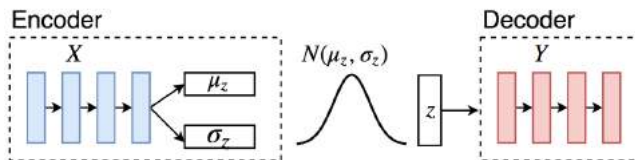
VAE with Bypass	VAE without Bypass
Input Sentence: the men are playing musical instruments	
<i>the men are playing musical instruments</i> <i>the man is playing musical instruments</i> <i>the men are playing musical instruments</i>	<i>the men are playing video games</i> <i>the men are playing musical instruments</i> <i>the musicians are playing musical instruments</i>
Input Sentence: a child holds a shovel on the beach .	
<i>a child holds a shovel on the beach .</i> <i>a child holds a shovel on the beach .</i> <i>a child holds a shovel on the beach .</i>	<i>a child playing with the ball on the beach .</i> <i>a child holding a toy on the water .</i> <i>a child holding a toy on the beach .</i>

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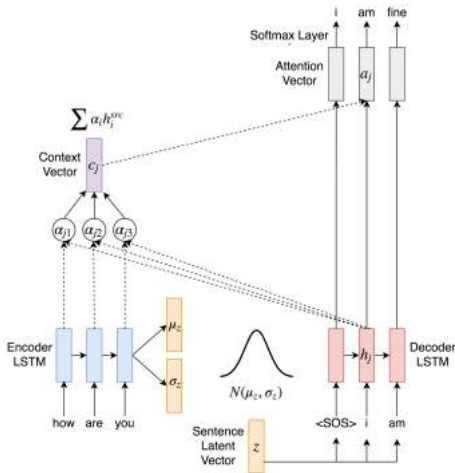
VED Introduction

- Transform an input sequence (X) into a different output sequence (Y)
- E.g., machine translation, text summarization, dialog generation



Deterministic Attention [Bahdanau et al., 2014]

- Performance improvements to existing Seq2Seq models
- Align source information on the encoder side to target information on the decoder side
- During each timestep j , the decoder weights the source tokens
- Pre-normalized score:
$$\tilde{\alpha}_{ji} = \mathbf{h}_j^{(\text{tar})} W^T \mathbf{h}_i^{(\text{src})}$$
- Attention weights:
$$\alpha_{ji} = \frac{\exp\{\tilde{\alpha}_{ji}\}}{\sum_{i'=1}^{|\mathbf{x}|} \exp\{\tilde{\alpha}_{ji'}\}}$$
- Unfortunately, deterministic attention serves as a **bypass** connection



Variational Attention

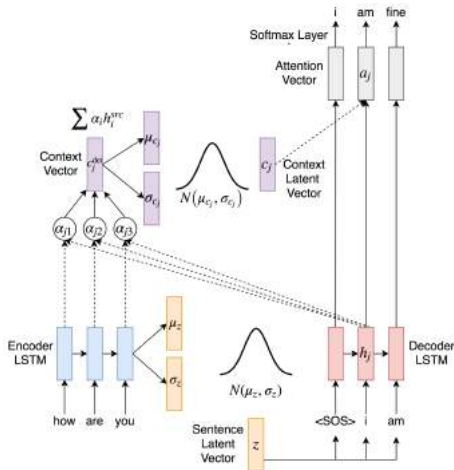
- Treat the **context vector** as a random variable with a pre-defined prior distribution
- With 2 latent spaces:

Loss Function

$$J_{\text{rec}}(\theta, \phi, y^{(n)}) + \lambda \left[\text{KL} \left(q_{\phi}^{(z)}(z|x^{(n)}) \parallel p(z) \right) + \gamma_a \sum_{j=1}^{|y|} \text{KL} \left(q_{\phi}^{(c_j)}(c_j|x^{(n)}) \parallel p(c_j) \right) \right]$$

- Two proposed priors $p(c_j)$:

- 1 $\mathcal{N}(0, I)$
- 2 $\mathcal{N}(\bar{h}^{(\text{src})}, I)$, where $\bar{h}^{(\text{src})} = \frac{1}{|x|} \sum_{i=1}^{|x|} h_i^{(\text{src})}$

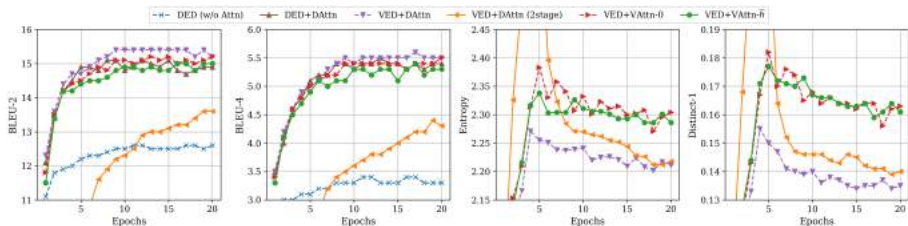


Experiment 1 - Question Generation

- Applications in ecommerce (generating FAQs), educational purposes
- Dataset: Stanford Question Answering Dataset (SQuAD)
- 100k question-answer pairs
- S: zinc is a chemical element with symbol zn and atomic number 30
- Q: what is the symbol for zinc ?

Model	Inference	BLEU-1	BLEU-2	BLEU-3	BLEU-4	Entropy	Dist-1	Dist-2
DED (w/o Attn) [Du et al., 2017]	MAP	31.34	13.79	7.36	4.26	-	-	-
DED (w/o Attn)	MAP	29.31	12.42	6.55	3.61	-	-	-
DED+DAttn	MAP	30.24	14.33	8.26	4.96	-	-	-
VED+DAttn	MAP	31.02	14.57	8.49	5.02	-	-	-
	Sampling	30.87	14.71	8.61	5.08	2.214	0.132	0.176
VED+DAttn (2-stage training)	MAP	28.88	13.02	7.33	4.16	-	-	-
	Sampling	29.25	13.21	7.45	4.25	2.241	0.140	0.188
VED+VAttn-0	MAP	29.70	14.17	8.21	4.92	-	-	-
	Sampling	30.22	14.22	8.28	4.87	2.320	0.165	0.231
VED+VAttn- \bar{h}	MAP	30.23	14.30	8.28	4.93	-	-	-
	Sampling	30.47	14.35	8.39	4.96	2.316	0.162	0.228

Learning Curves

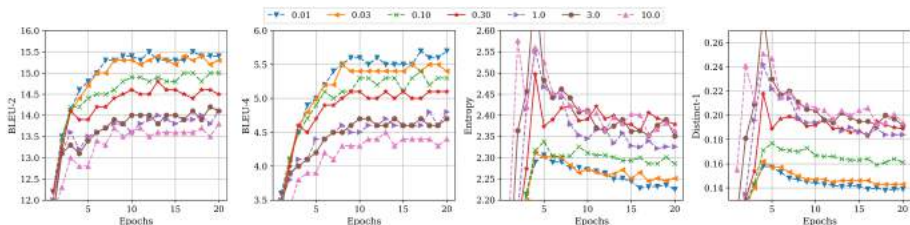


- Proposed models always have a higher diversity throughout training, while maintaining the BLEU scores

Strength of Attention KL Term

Loss Function

$$J_{\text{rec}}(\theta, \phi, y^{(n)}) + \lambda \left[\text{KL} \left(q_{\phi}^{(z)}(z|x^{(n)}) \parallel p(z) \right) + \gamma_a \sum_{j=1}^{|y|} \text{KL} \left(q_{\phi}^{(c_j)}(c_j|x^{(n)}) \parallel p(c_j) \right) \right]$$



- Low γ_a - model behaves *deterministically*
- High γ_a - achieves a higher diversity at the cost of output reconstruction performance

Experiment 2 - Dialog Systems

- Generative conversational agent
- Dataset: Cornell Movie-Dialogs Corpus
- 200k conversational exchanges from 617 movies
- M: so what should i do with the pudding?
R: lets just leave it there for now.

Model	Inference	BLEU-1	BLEU-2	BLEU-3	BLEU-4	Entropy	Distinct-1	Distinct-2
DED+DAttn	MAP	5.75	1.84	0.99	0.64	-	-	-
VED+DAttn	MAP	5.33	1.68	0.88	0.57	-	-	-
	Sampling	5.34	1.68	0.89	0.57	2.113	0.311	0.450
VED+VAttn- \bar{h}	MAP	5.48	1.78	0.97	0.64	-	-	-
	Sampling	5.55	1.79	0.97	0.64	2.167	0.324	0.467

Qualitative Results

	Source <i>when the british forces evacuated at the close of the war in 1783 , they transported 3,000 freedmen for resettlement in nova scotia .</i>
	Reference <i>in what year did the american revolutionary war end ?</i>
VED+DAtn	<i>how many people evacuated in newfoundland ? how many people evacuated in newfoundland ? what did the british forces seize in the war ?</i>
VED+VAttn-\bar{h}	<i>how many people lived in nova scotia ? where did the british forces retreat ? when did the british forces leave the war ?</i>
	Source <i>downstream , more than 200,000 people were evacuated from mianyang by june 1 in anticipation of the dam bursting .</i>
	Reference <i>how many people were evacuated downstream ?</i>
VED+DAtn	<i>how many people evacuated from the mianyang basin ? how many people evacuated from the mianyang basin ? how many people evacuated from the mianyang basin ?</i>
VED+VAttn-\bar{h}	<i>how many people evacuated from the tunnel ? how many people evacuated from the dam ? how many people were evacuated from fort in the dam ?</i>

Human Evaluation Study for Comparing Language Fluency

- Each model - 100 generated questions
- 5 - Flawless, 4 - Good, 3 - Adequate, 2 - Poor, 1 - Incomprehensible
- VED+DAtn \rightarrow 3.99 ; VED+VAttn- \bar{h} \rightarrow 4.01
- VAttn does not negatively affect the fluency of sentences

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Summary and Conclusions

- VAE for text generation was first designed, trained successfully by adopting - (1) KL weight annealing, (2) Word dropout; Demonstrated the effectiveness of the latent space
- Negative impact of bypassing connections
- Traditional attention mechanism serves as bypassing. To circumvent this issue, variational attention is proposed
- Two possible priors to model the attention context vector
- Experiments on two tasks show that the proposed model yields higher diversity while retaining high quality of generated sentences.

References I

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